FORM NLRB-501 (3-21)

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
03-CA-293362	04/012022	

#### **INSTRUCTIONS:**

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occurring	g.
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer Starbucks Corporation		b. Tel. No. 208-318-2212
		c. Cell No.
d Address (Street eity etate and 7/D ends)	e. Employer Representative	f. Fax. No.
d. Address (Street, city, state, and ZIP code) 2401 Utah Avenue South, Suite 800 Seattle, WA 98134	Howard Schultz, CEO  (b) (6), (b) (7)(C)	g. e-mail hschultz@starbucks.com
4770 Transit Road, Depew, NY 14043		h. Number of workers employed 30
i. Type of Establishment (factory, mine, wholesaler, etc.) Coffee shop	j. Identify principal product or service Food and beverage	
The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list subsections) (3) (5) of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.		
See attached		
3. Full name of party filing charge (if labor organization, go Workers United	ive full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code 2954 Main Street, Suite 556 Buffalo, NY 14214	9)	4b. Tel. No. 585-473-3280
Dunaio, N 1 14214		4c. Cell No.
		4d. Fax No.
		de. e-mail gbonadonnajr@rrjb.org
5. Full name of national or international labor organization Workers United, a/w SEIU	n of which it is an affiliate or constituent unit (to be filled in wh	hen charge is filed by a labor organization)
, I declare that I have read the abo	ARATION ve charge and that the statements	Tel. No. 716-608-3427
are true to the best of my knowledge and belief.  Ian Hayes, Attorney		Office, if any, Cell No.
(signature o represen a ve or person making charge)	(Print/type name and title or office, if any)	Fax No.
Hayes Dolce Address 471 Voorhees Ave., Buffalo, NY 14216	Date Apr 1, 2022	e-mail ihayes@hayesdolce.com

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information may cause the NLRB to decline to invoke its processes.

#### **Attachment to Charge Against Employer**

#### **Section 2- Basis of the Charge:**

In the past six months, the above-named Employer, by its officers, agents, and representatives, has interfered with, restrained, and coerced employees in the exercise of their rights as guaranteed in Section 7 of the Act and engaged in conduct toward employees attempting to discourage union activity, by acts and conduct including the following:

On or about (b) (6), (b) (7)(C), 2022, by terminating union supporter (b) (6), (b) (7)(C); and

On or about (b) (6), (b) (7)(c), 2022, by terminating union supporter (b) (6), (b) (7)(c)

The Union was certified by the NLRB as the bargaining representative of employees on March 17, 2022, following a ballot count on March 9, 2022. Despite this, the Employer did not give notice or an opportunity to bargain over the discipline of (b) (6), (b) (7)(C) or (b) (6), (b) (7)(C), in violation of its obligations to bargain under the Act.

The Union seeks expedited injunctive relief under Section 10(j) of the Act to prevent irreparable destruction of employee rights by Starbucks' illegal activity, which is increasing in aggression and severity daily.



REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

Download NLRB Mobile App

April 1, 2022

(b) (6), (b) (7)(C) Starbucks Corporation 4770 Transit Road Depew, NY 14043

> Re: Starbucks Corporation Case 03-CA-293362

### Dear (b) (6), (b) (7)(C):

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If this Board agent is not available, you may contact Regional Director LINDA M. LESLIE whose telephone number is (716)398-7017.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly. **Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate.** 

Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native

format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

LINDA M. LESLIE Regional Director

Linda M. Ledre

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

Alan I. Model, Attorney Littler Mendelson, PC One Newark Center, 1085 Raymond Blvd. cc:

Newark, NJ 07102

FORM NLRB-5081 NATIONAL LABOR RELATIONS BOARD (3-11)				
QUESTIONNAIRE ON COMMERCE INFORMATION				
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.				
CASE NAME			CASE NUMBER	
		0	3-CA-293362	
1. EXACT LEGAL TITLE OF ENTITY (As filed w	ith State and/or stated in legal	documents forming entity)		
2. TYPE OF ENTITY				
[] CORPORATION [] LLC [] LLP []	PARTNERSHIP [ ] SOLE	PROPRIETORSHIP [ ] OTHER	R (Specify )	
3. IF A CORPORATION or LLC				
A. STATE OF INCORPORATION	B. NAME, ADDRESS, AND	RELATIONSHIP (e.g. parent, subsidi	ary) OF ALL RELATED EN	TITIES
OR FORMATION				
4. IF AN LLC OR ANY TYPE OF PARTNERSHIP	L P, FULL NAME AND ADDRE	SS OF ALL MEMBERS OR PART	NERS	
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8. NUMBER OF PEOPLE PRESENTLY EMPLOY	VED			
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SIGNATURE

12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

NAME AND TITLE (Type or Print)

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations
Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71
Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause
the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

E-MAIL ADDRESS

DATE

### **UNITED STATES OF AMERICA**

### **BEFORE THE NATIONAL LABOR RELATIONS BOARD**

STARBUCKS CORPORATION	
Charged Party	
and	Case 03-CA-293362
WORKERS UNITED	Case 05-CA-275502
Charging Party	
AFFIDAVIT OF SERVICE OF CHARGE AGA	AINST EMPLOYER
I, the undersigned employee of the National Labor April 1, 2022, I served the above-entitled documen following persons, addressed to them at the following	t(s) by post-paid regular mail upon the
(b) (6), (b) (7)(C) Starbucks Corporation 4770 Transit Road Depew, NY 14043	
Alan I. Model, Attorney Littler Mendelson, PC One Newark Center, 1085 Raymond Blvd. 8th Floor Newark, NJ 07102	
April 1, 2022	REBECCA CAMPBELL, Designated Agent of NLRB
Date	Name
	/s/ REBECCA CAMPBELL Signature





Download NLRB Mobile App

REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465

April 1, 2022

Agency Website: www.nlrb.gov

Telephone: (716)551-4931

Fax: (716)551-4972

Workers United 2954 Main Street, Suite 556 Buffalo, NY 14214

> Re: Starbucks Corporation Case 03-CA-293362

Dear Sir or Madam:

The charge that you filed in this case on April 01, 2022 has been docketed as case number 03-CA-293362. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If this Board agent is not available, you may contact Regional Director LINDA M. LESLIE whose telephone number is (716)398-7017.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

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Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

LINDA M. LESLIE Regional Director

Linda M. Ledre

cc: Ian Hayes, ESQ.
Hayes Dolce
471 Voorhees Avenue
Buffalo, NY 14216

FORM NLRB-501 (3-21)

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
DO NOT WRITE IN THIS SPACE		
Case Date Filed		
03-CA-293528	4/05/2022	

#### INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occurring	ig.
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer Starbucks Corporation		b. Tel. No. 208-318-2212
		c. Cell No.
d Address (Street eity etate and 7/D ends)	a Employer Depresentative	f. Fax. No.
d. Address (Street, city, state, and ZIP code) 2401 Utah Avenue South, Suite 800 Seattle, WA 98134	e. Employer Representative Howard Schultz, CEO  (b) (6), (b) (7)(C)	g. e-mail hschultz@starbucks.com
2900 Monroe Avenue, Rochester, NY 14618		h. Number of workers employed 30
i. Type of Establishment (factory, mine, wholesaler, etc.) Coffee shop	j. Identify principal product or service Food and beverage	
The above-named employer has engaged in and is engaged	ging in unfair labor practices within the meaning of section	8(a), subsections (1) and
(list subsections) (3)	of the National Labor Re	elations Act, and these unfair labor
practices are practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.	aning of the Act, or these unfair labor practices are practic	es affecting commerce within the
In the past six months, the above-named Employer coerced employees in the exercise of their rights as	ement of the facts constituting the alleged unfair labor pract; by its officers, agents, and representatives, has into a guaranteed in Section 7 of the Act and engaged in d conduct including the following: On or about (1) (1)	erfered with, restrained, and conduct toward employees
The Union seeks expedited injunctive relief under Starbucks' illegal activity.	Section 10(j) of the Act to prevent irreparable destr	uction of employee rights by
3. Full name of party filing charge (if labor organization, go Workers United	ive full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code 2954 Main Street, Suite 556	9)	4b. Tel. No. 585-473-3280
Buffalo, NY 14214		4c. Cell No.
		4d. Fax No.
		4e. e-mail gbonadonnajr@rrjb.org
5. Full name of national or international labor organization Workers United, a/w SEIU	n of which it is an affiliate or constituent unit (to be filled in w	hen charge is filed by a labor organization)
, I declare that I have read the abo	ARATION ve charge and that the statements ny knowledge and belief.	Tel. No. 716-608-3427
lan Hayes	Ian Hayes, Attorney	Office, if any, Cell No.
(signature o represen a ve or person making charge)	(Print/type name and title or office, if any)	Fax No.
Hayes Dolce Address 471 Voorhees Ave., Buffalo, NY 14216	Date Apr 5, 2022	e-mail ihayes@hayesdolce.com

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information may cause the NLRB to decline to invoke its processes.





REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972 Download NLRB Mobile App

April 6, 2022

Workers United 2954 Main Street, Suite 556 Buffalo, NY 14214

> Re: Starbucks Corporation Case 03-CA-293528

Dear Sir or Madam:

The charge that you filed in this case on April 05, 2022 has been docketed as case number 03-CA-293528. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If this Board agent is not available, you may contact Regional Director LINDA M. LESLIE whose telephone number is (716)398-7017.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB

office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

LINDA M. LESLIE Regional Director

Linda M. Ledre

cc: Michael Dolce, Attorney at Law Hayes Dolce 471 Voorhees Avenue Buffalo, NY 14216





REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972 Download NLRB Mobile App

April 6, 2022

(b) (6), (b) (7)(C) Starbucks Corporation

2900 Monroe Ave Rochester, NY 14618

> Re: Starbucks Corporation Case 03-CA-293528

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If this Board agent is not available, you may contact Regional Director LINDA M. LESLIE whose telephone number is (716)398-7017.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly. **Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate.** 

Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native

format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

LINDA M. LESLIE Regional Director

Linda M. Ledre

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: Alan I. Model, Attorney
Littler Mendelson, PC
One Newark Center, 1085 Raymond Blvd.
8th Floor
Newark, NJ 07102

FORM NLRB-5081 NATIONAL LABOR RELATIONS BOARD (3-11)				
QUESTIONNAIRE ON COMMERCE INFORMATION				
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.				
CASE NAME		(	ASE NUMBER	
			3-CA-293528	
1. EXACT LEGAL TITLE OF ENTITY (As filed w	ith State and/or stated in legal	documents forming entity)		
2. TYPE OF ENTITY				
[] CORPORATION [] LLC [] LLP []	PARTNERSHIP [ ] SOLE	PROPRIETORSHIP [ ] OTHER	(Specify)	
3. IF A CORPORATION or LLC				
A. STATE OF INCORPORATION	B. NAME, ADDRESS, AND	RELATIONSHIP (e.g. parent, subsidia	ary) OF ALL RELATED E	NTITIES
OR FORMATION				
4. IF AN LLC OR ANY TYPE OF PARTNERSHIP	P, FULL NAME AND ADDRE	SS OF ALL MEMBERS OR PART	NERS	
7 IF A COLE PROPRIETOROUS FULL MANE	AND ADDRESS OF BRODE	ETOD		
5. IF A SOLE PROPRIETORSHIP, FULL NAME	AND ADDRESS OF PROPRI	ETUK		
6. BRIEFLY DESCRIBE THE NATURE OF YOU	R OPERATIONS (Products ha	ndled or manufactured, or nature of se	rvices performed).	
7A. PRINCIPAL LOCATION:	7B. BRANCE	H LOCATIONS:		
8. NUMBER OF PEOPLE PRESENTLY EMPLOY				
A. TOTAL:	B. AT THE ADDRESS INVO			
0 DIDING THE MOST DECENT (Cl L d				
9. DURING THE MOST RECENT (Check the appr	opriate box): [ ] CALENDAR	[ ] 12 MONTHS or [ ] FISCAL	YEAR (FY DATES	)   NO
			YES	NO NO
A. Did you provide services valued in excess of \$50,0			YES	NO NO
A. Did you provide services valued in excess of \$50,0	00 directly to customers outside	your State? If no, indicate actual value	YES	NO
A. Did you provide services valued in excess of \$50,0     \$	00 directly to customers outside	your State? If no, indicate actual value	YES	NO NO
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#### PRIVACY ACT STATEMENT

E-MAIL ADDRESS

DATE

12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

SIGNATURE

NAME AND TITLE (Type or Print)

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

### **UNITED STATES OF AMERICA**

### **BEFORE THE NATIONAL LABOR RELATIONS BOARD**

STARBUCKS CORPORATION	
Charged Party	
and	Case 03-CA-293528
WORKERS UNITED	
Charging Party	
AFFIDAVIT OF SERVICE OF CHARGE AGAINS	T EMPLOYER
I, the undersigned employee of the National Labor Rela April 6, 2022, I served the above-entitled document(s) be following persons, addressed to them at the following a	by post-paid regular mail upon the
(b) (6), (b) (7)(C) Starbucks Corporation 2900 Monroe Ave Rochester, NY 14618	
Alan I. Model, Attorney Littler Mendelson, PC One Newark Center, 1085 Raymond Blvd. 8th Floor Newark, NJ 07102	
April 6, 2022	REBECCA CAMPBELL, Designated Agent of NLRB
Date	Name
	/s/ REBECCA CAMPBELL
<del>-</del>	Signature

FORM NLRB-501 (2-18)

Amended CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE	
Case 03-CA-293528	4/8/2022

INSTRUCTIONS:

Pile an original with NLRB Regional Director for the region in  1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer Starbucks Corporation		b. Tel. No (208) 318-2212 c. Cell No.
		f. Fax. No.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	T. Fax. No.
2401 Utah Avenue South, Suite 800 Seattle, WA 98134	Howard Schultz, CEO (b) (6), (b) (7)(C)	g. e-mail hschultz@starbucks.com
2900 Monroe Ave., Rochester, NY 14618		h. Number of workers employed 30
Type of Establishment (factory, mine, wholesaler, etc.)     Coffee shop	j Identify principal product or service Food and beverage	
The above-named employer has engaged in and is engage	ging in unfair labor practices within the meaning of secti	ion 8(a), subsections (1) and
(list subsections) (3)	of the National Labor	r Relations Act, and thest unfair labor
practices are practices affecting commerce within the med	aning of the Act, or these unfair labor practices affecting	g commerce within the meaning of
the Act and the Postal Reorganization Act.  2. Basis of the Charge (set forth a clear and concise state		
attempting to discourage union activity, by acts an supporter (b) (6), (b) (7)(C) 2) on about (b) (6), (b) (7)(C) 202 from visiting any Starbucks location.  The Union seeks expedited injunctive relief under Starbucks' illegal activity.  3 Full name of party filing charge (if labor organization, g) Workers United	Section 10(j) of the Act to prevent irreparable de	2022, by balling
4a. Address (Street and number, city, state, and ZIP code		do Tal No
2954 Main Street	9	4b. Tel. No. (585) 473-3280
Suite 556 Buffalo, NY 14214		4c. Cell No.
		4d. Fax No.
		4e. e-mail gbonadonnajr@rrjb.com
<ol> <li>Full name of national or international labor organization Workers United, a/w SEIU</li> </ol>	of which it is an affiliate or constituent unit (to be filled in	n when charge is filed by a labor organization)
declare that I have read the about	ARATION we charge and that the statements by knowledge and belief.	Tel. No. (716) 912-3480
1/1	Michael Dolce, Attorney	Office, if any, Cell No.
(signature of impression of person making charge)	(Print/type name and title or office, if any)	Fax No.
Address Hayes Dolce, 471 Voorhees Ave., Buffalo	Date April 8, 2022	e-mail mdolce@hayesdolce.com

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.





REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972 Download NLRB Mobile App

April 8, 2022

(b) (6), (b) (7)(C)

Starbucks Corporation 2900 Monroe Ave Rochester, NY 14618

> Re: Starbucks Corporation Case 03-CA-293528

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If the agent is not available, you may contact Regional Director LINDA M. LESLIE whose telephone number is (716)398-7017.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to

comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

LINDA M. LESLIE Regional Director

Linda M. Ledre

Enclosure: Copy of first amended charge

cc: Alan I. Model, Attorney
Littler Mendelson, PC
One Newark Center, 1085 Raymond Blvd.
8th Floor
Newark, NJ 07102

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

STARBUCKS CORPORATION  Charged Party  and  WORKERS UNITED	Case 03-CA-293528
Charging Party	
AFFIDAVIT OF SERVICE OF FIRST AMENDE  I, the undersigned employee of the National Labor F on April 8, 2022, I served the above-entitled docum persons, addressed to them at the following addresses	telations Board, being duly sworn, say that ent(s) by regular mail upon the following
Alan I. Model, Attorney Littler Mendelson, PC One Newark Center, 1085 Raymond Blvd. 8th Floor Newark, NJ 07102	
April 8, 2022	LOUIS F. PORTO, Designated Agent of NLRB
Date	Name
	/s/LOUIS F. PORTO
_	Signature





REGION 3 Agency Website: www.nlrb.gov 130 S Elmwood Ave Ste 630 Telephone: (716)551-4931 Buffalo, NY 14202-2465 Fax: (716)551-4972 Download NLRB Mobile App

April 8, 2022

Workers United 2954 Main Street, Suite 556 Buffalo, NY 14214

> Re: Starbucks Corporation Case 03-CA-293528

Dear Sir or Madam:

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney JESSICA L. CACACCIO whose telephone number is (716)398-7022. If the agent is not available, you may contact Regional Director LINDA M. LESLIE whose telephone number is (716)398-7017.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

LINDA M. LESLIE Regional Director

Linda M. Ledre

cc: Michael Dolce, Attorney at Law Hayes Dolce 471 Voorhees Avenue Buffalo, NY 14216